

1AP7 Rec'd PCT/PTO 27 APR 2006

FORM PTO-1390 (Modified)
U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE
(REV 12-2004)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 016778-0507 U.S. APPLICATION NO. (If known, see 37 CFR 1.6) Unassigned 598
INTERNATIONAL APPLICATION NO. PCT/JP2004/014035	INTERNATIONAL FILING DATE 09/17/2004	PRIORITY DATE CLAIMED 10/27/2003
TITLE OF INVENTION SEMICONDUCTOR MEMORY DEVICE		
APPLICANT(S) FOR DO/EO/US Koichi TAKEDA		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.</p> <p>4. <input checked="" type="checkbox"/> The US has been elected (Article 31).</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2))</p> <ul style="list-style-type: none"><input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).<input checked="" type="checkbox"/> has been communicated by the International Bureau.<input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) <p>6. <input checked="" type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).</p> <ul style="list-style-type: none"><input checked="" type="checkbox"/> is attached hereto.<input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). <p>7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))</p> <ul style="list-style-type: none"><input type="checkbox"/> are attached hereto (required only if not transmitted by the International Bureau).<input type="checkbox"/> have been communicated by the International Bureau.<input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.<input type="checkbox"/> have not been made and will not be made. <p>8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</p> <p>10. <input checked="" type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</p>		
Items 11 to 20 below concern other document(s) or information included:		
<p>11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input checked="" type="checkbox"/> An Assignment Document for Recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</p> <p>13. <input checked="" type="checkbox"/> A Preliminary Amendment.</p> <p>14. <input checked="" type="checkbox"/> An Application Data Sheet under 37 CFR 1.76.</p> <p>15. <input type="checkbox"/> A substitute specification.</p> <p>16. <input type="checkbox"/> A power of attorney and/or change of address letter.</p> <p>17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 – 1.825</p> <p>18. <input type="checkbox"/> A second copy of the published international application under 35 U.S.C. 154(d)(4).</p> <p>19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).</p> <p>20. <input checked="" type="checkbox"/> Other items or information: Translator's Declaration (1 page); Copy of Claims Amended Under PCT Article 34 (10 pages); Copy of International Search Report (2 pages).</p>		

AP20 Rec'd PCT/PTO 27 APR 2006

U.S. APPLICATION NO. (If known, see 37 CFR 1.15) Unassigned 016778		INTERNATIONAL APPLICATION NO. PCT/JP2004/014035	ATTORNEY'S DOCKET NUMBER 016778-0507																																					
The following fees have been submitted:																																								
<table border="1"> <tr> <td>21. <input checked="" type="checkbox"/> Basic national fee</td> <td>\$300</td> <td>\$</td> <td>300.00</td> <td></td> </tr> <tr> <td>22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)</td> <td>\$100</td> <td>\$</td> <td>200.00</td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$200</td> <td></td> <td></td> <td></td> </tr> <tr> <td>23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority</td> <td>\$100</td> <td></td> <td></td> <td></td> </tr> <tr> <td>International Search Report prepared and provided to the Office</td> <td>\$400</td> <td></td> <td></td> <td></td> </tr> <tr> <td>All other situations</td> <td>\$500</td> <td>\$</td> <td>400.00</td> <td></td> </tr> <tr> <td colspan="2">TOTAL OF ABOVE 21, 22 and 23 =</td> <td>\$</td> <td>900.00</td> <td></td> </tr> </table>						21. <input checked="" type="checkbox"/> Basic national fee	\$300	\$	300.00		22. <input checked="" type="checkbox"/> Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4)	\$100	\$	200.00		All other situations	\$200				23. <input checked="" type="checkbox"/> Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority	\$100				International Search Report prepared and provided to the Office	\$400				All other situations	\$500	\$	400.00		TOTAL OF ABOVE 21, 22 and 23 =		\$	900.00	
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TOTAL OF ABOVE 21, 22 and 23 =		\$	900.00																																					
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$ for each additional 50 sheets of paper or fraction thereof.																																								
Total Sheets	Extra sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE																																					
98 - 100 =	0 /50 =	0	x \$ 250.00	\$	0.00																																			
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e))																																								
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE																																					
Total Claims	48 - 20 =	28	x \$ 50.00	\$	1400.00																																			
Independent Claims	12 - 3 =	9	x \$ 200.00	\$	1800.00																																			
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$ 360.00	\$	0.00																																			
TOTAL OF ABOVE CALCULATIONS =			\$	4100.00																																				
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.			+	\$	0.00																																			
			SUBTOTAL =	\$	4100.00																																			
Processing fee of 130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).			\$	0.00																																				
			TOTAL NATIONAL FEE =	\$	4100.00																																			
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). 40.00 per property			\$	40.00																																				
			TOTAL FEES ENCLOSED =	\$	4140.00																																			
			Amount to be refunded:																																					
			charged:																																					
a. <input type="checkbox"/> A check in the amount of _____ to cover the above fees is enclosed.																																								
b. <input type="checkbox"/> Please charge my Deposit Account No. 19-0741 in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed.																																								
c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0741. A duplicate copy of this sheet is enclosed.																																								
d. <input checked="" type="checkbox"/> Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.																																								
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.																																								
SEND ALL CORRESPONDENCE TO:																																								
Foley & Lardner LLP Customer Number: 22428																																								
SIGNATURE  David A. Blumenthal																																								
NAME 26,257																																								
REGISTRATION NUMBER 43,438																																								